

# **Appendix B**

## **Basalt Ventures LLC Roth 401(K) Plan**

## Equities Transactions

Exhibit No.	Trade No.	Pension Plan	Security	Number of shares	Trade Date	Settlement Date	Price	Quantity	Equity Broker 1	Equity Broker 2	Citation for Purchase by Equity Broker 1 from Equity Broker 2	Seller	Citation for Purchase by Equity Broker 2 from Seller
13	1	BASALT VENTURES LLC ROTH 401(K) PLAN	Novozymes A/S - B	777,153	02/25/15	03/02/15	322.5000	777,153	Sunrise Brokers	Mako Financial Markets LLP	ELYSIUM-09140791	Encoreelite Ltd	ELYSIUM-09140791
14	2	BASALT VENTURES LLC ROTH 401(K) PLAN	TDC A/S	3,283,965	03/05/15	03/10/15	54.0000	3,283,965	Bastion Capital London Ltd	Mako Financial Markets LLP	ELYSIUM-09140791	FBFB International Ltd	ELYSIUM-09140791
15	3	BASALT VENTURES LLC ROTH 401(K) PLAN	DSV A/S	765,908	03/12/15	03/17/15	219.2000	765,908	The TJM Partnership PLC	Mako Financial Markets LLP	ELYSIUM-03915393	RDKS Consultants	ELYSIUM-03915393
16	4	BASALT VENTURES LLC ROTH 401(K) PLAN	Danske Bank A/S	3,271,664	03/18/15	03/23/15	175.3000	3,271,664	Sunrise Brokers	Mako Financial Markets LLP	ELYSIUM-03944478	Metis Cayman Ltd	ELYSIUM-03944560
17	5	BASALT VENTURES LLC ROTH 401(K) PLAN	Pandora A/S	450,631	03/18/15	03/23/15	614.5000	450,631	Sunrise Brokers	Mako Financial Markets LLP	ELYSIUM-03945221	Metis Cayman Ltd	ELYSIUM-0394689
18	6	BASALT VENTURES LLC ROTH 401(K) PLAN	Novo Nordisk A/S - B	6,448,211	03/19/15	03/24/15	341.9000	6,448,211	The TJM Partnership PLC	Arian Financial LLP	ELYSIUM-03959847	Encoreelite Ltd	ELYSIUM-03959842
19	7	BASALT VENTURES LLC ROTH 401(K) PLAN	GN Store Nord A/S	605,292	03/19/15	03/24/15	154.2000	605,292	The TJM Partnership PLC	Arian Financial LLP	ELYSIUM-03960538	Encoreelite Ltd	ELYSIUM-03960369
20	8	BASALT VENTURES LLC ROTH 401(K) PLAN	Teg A/S	35,209	03/25/15	03/30/15	868.5000	35,209	Bastion Capital London Ltd	Mako Financial Markets LLP	ELYSIUM-03994246	Metis Cayman Ltd	ELYSIUM-03994000
21	9	BASALT VENTURES LLC ROTH 401(K) PLAN	Carlsberg A/S - B	178,044	03/26/15	03/31/15	571.5000	178,044	Sunrise Brokers	Mako Financial Markets LLP	ELYSIUM-04005495	RDKS Consultants	ELYSIUM-04006834 ELYSIUM-04121850
22	10	BASALT VENTURES LLC ROTH 401(K) PLAN	FL Smith & Co A/S	80,009	03/26/15	03/31/15	314.0000	80,009	The TJM Partnership PLC	Arian Financial LLP	ELYSIUM-04006284	RDKS Consultants	ELYSIUM-04006030
23	11	BASALT VENTURES LLC ROTH 401(K) PLAN	Vestas Wind Systems A/S	373,474	03/30/15	04/07/15	290.2000	373,474	Sunrise Brokers	Arian Financial LLP	ELYSIUM-04030963	Baja Ventures Ltd	ELYSIUM-04038459
24	12	BASALT VENTURES LLC ROTH 401(K) PLAN	A.P. Moller-Mersk A/S - A	7,873	03/30/15	04/07/15	15.810.0000	7,873	Sunrise Brokers	Arian Financial LLP	ELYSIUM-04031013	RDKS Consultants	ELYSIUM-04038256
25	13	BASALT VENTURES LLC ROTH 401(K) PLAN	A.P. Moller-Mersk A/S - B	7,877	03/30/15	04/07/15	16.410.0000	7,877	Sunrise Brokers	Arian Financial LLP	ELYSIUM-04030897	Metis Cayman Ltd	ELYSIUM-04038325
26	14	BASALT VENTURES LLC ROTH 401(K) PLAN	Coloplast A/S - B	294,679	05/06/15	05/11/15	510.0000	294,679	The TJM Partnership PLC	Sapient Capital	ELYSIUM-04197662	FBFB International Ltd	ELYSIUM-04197990

## Stock Loan Transactions

Exhibit No.	Trade No.	Pension Plan	Security	Number of shares	Trade Date	Settlement Date	Price	Quantity	Stock Loan Intermediary 1	Stock Loan Intermediary 2	Citation for Stock Loan Intermediary 1 Loan to Stock Loan Intermediary 2	Ultimate Borrower	Citation for Stock Loan Intermediary 2 Loan to Borrower
13	1	BASALT VENTURES LLC ROTH 401(K) PLAN	Novozymes A/S - B	777,153	02/27/15	03/02/15	322.5000	777,153	Trance Services Ltd	RVT Consult GmbH	ELYSIUM-09140791	Encorecrite Ltd	ELYSIUM-09140791
14	2	BASALT VENTURES LLC ROTH 401(K) PLAN	TDC A/S	3,283,965	03/09/15	03/10/15	54.0000	3,283,965	Diverse Vision Ltd	CEKA Invest GmbH	ELYSIUM-03897564	FBFB International Ltd	ELYSIUM-03897567
15	3	BASALT VENTURES LLC ROTH 401(K) PLAN	DSV A/S	765,908	03/16/15	03/17/15	219.2000	765,908	Neoteric Ltd	Relative Value Trading GmbH	ELYSIUM-03928624	RDKS Consultants	ELYSIUM-03928418
16	4	BASALT VENTURES LLC ROTH 401(K) PLAN	Danske Bank A/S	3,271,664	03/20/15	03/23/15	175.3000	3,271,664	Prince Solutions Ltd	RVT Consult GmbH	ELYSIUM-03969733	Metis Cayman Ltd	ELYSIUM-03970047
17	5	BASALT VENTURES LLC ROTH 401(K) PLAN	Pandora A/S	450,631	03/20/15	03/23/15	614.5000	450,631	Prince Solutions Ltd	RVT Consult GmbH	ELYSIUM-03969695	Metis Cayman Ltd	ELYSIUM-03970006
18	6	BASALT VENTURES LLC ROTH 401(K) PLAN	Novo Nordisk A/S - B	6,448,211	03/23/15	03/24/15	341.9000	6,448,211	Trehurst Ltd	CEKA Invest GmbH	ELYSIUM-03980122	Encorecrite Ltd	ELYSIUM-03980294
19	7	BASALT VENTURES LLC ROTH 401(K) PLAN	GN Store Nord A/S	605,292	03/23/15	03/24/15	154.2000	605,292	Trehurst Ltd	CEKA Invest GmbH	ELYSIUM-03980211	Encorecrite Ltd	ELYSIUM-03980385
20	8	BASALT VENTURES LLC ROTH 401(K) PLAN	Tyng A/S	35,209	03/27/15	03/30/15	868.5000	35,209	Diverse Vision Ltd	Telhval Global Ltd	ELYSIUM-04011860	Metis Cayman Ltd	ELYSIUM-04012029
21	9	BASALT VENTURES LLC ROTH 401(K) PLAN	Carlsberg A/S - B	178,044	03/30/15	03/31/15	571.5000	178,044	Prince Solutions Ltd	Relative Value Trading GmbH	ELYSIUM-04029621	RDKS Consultants	ELYSIUM-04030318
22	10	BASALT VENTURES LLC ROTH 401(K) PLAN	FLSmith & Co A/S	80,009	03/30/15	03/31/15	314.0000	80,009	Trehurst Ltd	Telhval Global Ltd	ELYSIUM-04028847	RDKS Consultants	ELYSIUM-04029631
23	11	BASALT VENTURES LLC ROTH 401(K) PLAN	Vestas Wind Systems A/S	373,474	04/01/15	04/07/15	290.2000	373,474	Trance Services Ltd	Relative Value Trading GmbH	ELYSIUM-04052881	Baja Ventures Ltd	ELYSIUM-04052793
24	12	BASALT VENTURES LLC ROTH 401(K) PLAN	A.P. Moller Mersk A/S - A	7,873	04/01/15	04/07/15	15,810.0000	7,873	Prince Solutions Ltd	CEKA Invest GmbH	ELYSIUM-04052529	RDKS Consultants	ELYSIUM-04053637
25	13	BASALT VENTURES LLC ROTH 401(K) PLAN	A.P. Moller Mersk A/S - B	7,877	04/01/15	04/07/15	16,410.0000	7,877	Trance Services Ltd	Relative Value Trading GmbH	ELYSIUM-04052784	Metis Cayman Ltd	ELYSIUM-04052746
26	14	BASALT VENTURES LLC ROTH 401(K) PLAN	Coleplast A/S - B	294,679	05/08/15	05/11/15	510.0000	294,679	Colbrook Ltd	Telhval Global Ltd	ELYSIUM-04217885	FBFB International Ltd	ELYSIUM-04216379